

# Twisted Morse Complexes

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## Why would we study local coefficients?

“Local coefficients bring an extra level of complication that one tries to avoid whenever possible.”

– Hatcher, Algebraic Topology, Section 3.H.

“For example, the only way to extend Poincaré duality with  $\mathbb{Z}$  coefficients to nonorientable manifolds is to use local coefficients.”

– Hatcher, Algebraic Topology, Section 3.H.

## Floer homology and symplectic cohomology

Kronheimer and Mrowka use Floer homology of the Seiberg-Witten monopole equation with local coefficients in their book [**Monopoles and Three-manifolds**, New Mathematical Monographs, vol. 10. Cambridge University Press, Cambridge (2007)].

The proof of Viterbo's Theorem, which asserts that there is an isomorphism between the twisted homology of the free loop space of a closed differentiable manifold and the symplectic cohomology of its cotangent bundle, given by Abouzaid uses homology with local coefficients on spaces of piecewise geodesics [Symplectic cohomology and Viterbo's theorem. **Free Loop Spaces in Geometry and Topology**, pp. 271–485 (2015)].

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## Singular chains

For any  $k \in \mathbb{Z}_+$ , the **standard  $k$ -simplex**  $\Delta^k$  is the subspace of  $\mathbb{R}^{k+1}$  consisting of  $(k+1)$ -tuples  $(t_0, t_1, \dots, t_k)$  with  $t_i \geq 0$  and  $t_0 + t_1 + \dots + t_k = 1$ . A **singular  $k$ -simplex** in a topological space  $X$  is a continuous map  $\sigma : \Delta^k \rightarrow X$ .

For  $k \geq 0$ ,  $C_k(X; \mathbb{Z})$  is the free  $\mathbb{Z}$ -module with generators the singular  $k$ -simplices, i.e. an element

$$\sum_{i \in I} a_i \sigma_i \in C_k(X; \mathbb{Z})$$

is a formal sum, where  $a_i \in \mathbb{Z}$ , the  $\sigma_i$  are  $k$ -simplices, and  $a_i$  is non-zero for only a finite number of  $i \in I$ .

If  $A \subseteq X$  is a subspace, the inclusion  $i : A \rightarrow X$  induces a homomorphism  $i_* : C_k(A; \mathbb{Z}) \rightarrow C_k(X; \mathbb{Z})$ , and

$$C_k(X, A; \mathbb{Z}) \stackrel{\text{def}}{=} C_k(X; \mathbb{Z}) / C_k(A; \mathbb{Z}).$$

## Singular homology with integer coefficients

There are **face maps**  $F_i^k : \Delta^{k-1} \rightarrow \Delta^k$  defined by

$$F_i^k(t_0, \dots, t_{k-1}) = (t_0, \dots, t_{i-1}, 0, t_i, \dots, t_{k-1}) \subset \Delta^k$$

for  $0 \leq i \leq k$ , which determine a **singular boundary operator**

$$\partial_k : C_k(X; \mathbb{Z}) \rightarrow C_{k-1}(X; \mathbb{Z}),$$

defined on a generator  $\sigma \in C_k(X; \mathbb{Z})$  by

$$\partial_k(\sigma) = \sigma \circ F_0^k - \sigma \circ F_1^k + \dots + (-1)^k \sigma \circ F_k^k.$$

It descends to a boundary operator

$$\bar{\partial}_k : C_k(X, A; \mathbb{Z}) \rightarrow C_{k-1}(X, A; \mathbb{Z})$$

that satisfies  $\bar{\partial}_k \circ \bar{\partial}_{k+1} = 0$ , and hence for all  $k \geq 0$  we can define

$$H_k(X, A; \mathbb{Z}) \stackrel{\text{def}}{=} Z_k(X, A; \mathbb{Z}) / B_k(X, A; \mathbb{Z}) \stackrel{\text{def}}{=} \text{kernel } \bar{\partial}_k / \text{image } \bar{\partial}_{k+1}.$$

## Connecting homomorphisms

For any  $A \subseteq X$  there is a **connecting homomorphism**

$$\delta_k : H_k(X, A) \rightarrow H_{k-1}(A)$$

for all  $k$  which fits into the following exact sequence.

$$\cdots \longrightarrow H_k(A) \xrightarrow{i_*} H_k(X) \xrightarrow{j_*} H_k(X, A) \xrightarrow{\delta_k} H_{k-1}(A) \longrightarrow \cdots$$

For a triple  $A \subseteq B \subseteq X$  the connecting homomorphism and the inclusion  $j : (B, \emptyset) \rightarrow (B, A)$  induce a **connecting homomorphism**

$$\delta_* = j_* \circ \delta_k : H_k(X, B) \xrightarrow{\delta_k} H_{k-1}(B) \xrightarrow{j_*} H_{k-1}(B, A)$$

that fits into the following exact sequence.

$$\cdots \longrightarrow H_k(B, A) \xrightarrow{i_*} H_k(X, A) \xrightarrow{j_*} H_k(X, B) \xrightarrow{\delta_*} H_{k-1}(B, A) \longrightarrow \cdots$$

## CW-complexes

A CW-complex is built step by step by successive operations called **attaching cells**.

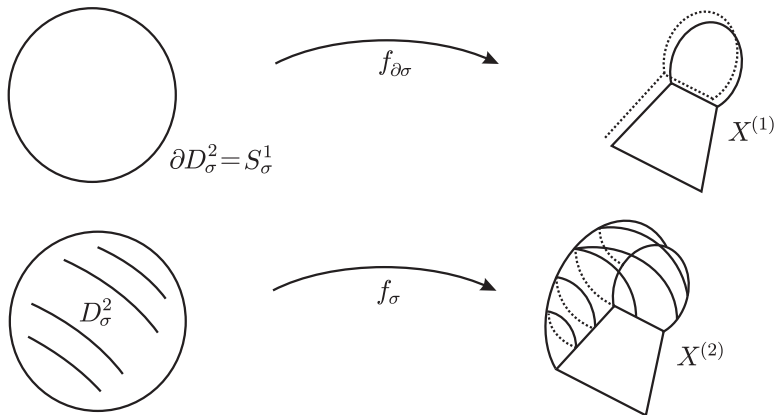
Let  $D^n \subset \mathbb{R}^n$  be the unit  $n$ -disk and  $S^{n-1} = \partial D^n$  the unit  $(n-1)$ -sphere. If  $f_{\partial} : S^{n-1} \rightarrow X$  is a continuous map into a topological space  $X$ , we denote by

$$X \cup_{f_{\partial}} D^n$$

the quotient space of the disjoint union  $X \amalg D^n$  where  $x \in \partial D^n = S^{n-1}$  is identified with  $f_{\partial}(x) \in X$ . We say that  $X \cup_{f_{\partial}} D^n$  is obtained from  $X$  by **attaching an  $n$ -cell** and  $f_{\partial}$  is called the **attaching map**.



## Attaching a 2-cell



# CW-structures

## Definition

A topological space  $X$  has a **CW-structure** if there are subspaces  $X^{(n)}$  with

$$X^{(0)} \subseteq X^{(1)} \subseteq \dots \subseteq X = \bigcup_{n \in \mathbb{Z}_+} X^{(n)}$$

such that

- $X^{(0)}$  is a discrete set of points,
- $X^{(n+1)}$  is obtained from  $X^{(n)}$  by attaching  $(n+1)$ -cells for all  $n \geq 0$ ,
- $X$  has the **weak topology**. This means that a subspace of  $X$  is open if and only if its intersection with  $X^{(n)}$  is open in  $X^{(n)}$  for all  $n \in \mathbb{Z}_+$ .

## CW-chains

### Lemma

$$H_k(X^{(n)}, X^{(n-1)}; \mathbb{Z}) \approx \begin{cases} \underline{C}_n(X; \mathbb{Z}) & \text{for } k = n \\ 0 & \text{otherwise.} \end{cases}$$

where

$$\underline{C}_n(X; \mathbb{Z}) \approx \bigoplus_{\sigma} H_n(D_{\sigma}^n, \partial D_{\sigma}^n; \mathbb{Z}) \approx \bigoplus_{\sigma} \mathbb{Z}$$

is the *free  $\mathbb{Z}$ -module generated by the  $n$ -cells of  $X$* . Moreover, the map

$$\bigoplus_{\sigma} f_{\sigma*} : \bigoplus_{\sigma} H_n(D_{\sigma}^n, \partial D_{\sigma}^n; \mathbb{Z}) \rightarrow H_n(X^{(n)}, X^{(n-1)}; \mathbb{Z})$$

is an isomorphism.

## The CW-boundary operator

Define the **CW-boundary operator**

$$\partial_n : \underline{C}_n(X; \mathbb{Z}) \rightarrow \underline{C}_{n-1}(X; \mathbb{Z})$$

to be the composition

$$\underline{C}_n(X; \mathbb{Z}) \xrightarrow{\Psi_n} H_n(X^{(n)}, X^{(n-1)}) \xrightarrow{\delta_*} H_{n-1}(X^{(n-1)}, X^{(n-2)}) \xrightarrow{\Phi_{n-1}} \underline{C}_{n-1}(X; \mathbb{Z})$$

where

$$\begin{aligned} \Psi_n : \underline{C}_n(X; \mathbb{Z}) &\xrightarrow{\approx} H_n(X^{(n)}, X^{(n-1)}) \\ \Phi_{n-1} : H_{n-1}(X^{(n-1)}, X^{(n-2)}) &\xrightarrow{\approx} \underline{C}_{n-1}(X; \mathbb{Z}) \end{aligned}$$

are given by the above lemma, and the map  $\delta_*$  is the connecting homomorphism of the triple  $(X^{(n)}, X^{(n-1)}, X^{(n-2)})$ .

# The CW-Homology Theorem

## Theorem (CW-Homology Theorem)

If  $X$  is a CW-complex, then  $\partial_n : \underline{C}_n(X; \mathbb{Z}) \rightarrow \underline{C}_{n-1}(X; \mathbb{Z})$  satisfies  $\partial_{n-1} \circ \partial_n = 0$  and is given by

$$\partial_n(\sigma) = \sum_{\tau} [\sigma : \tau] \tau$$

where  $[\sigma : \tau]$  is the degree of the map  $p_{\tau} \circ f_{\partial\sigma} : \partial D_{\sigma}^n \rightarrow S_{\tau}^{n-1}$ .  
 Moreover, there is a natural identification of the homology of the complex  $(\underline{C}_*(X; \mathbb{Z}), \partial_*)$  with the singular homology  $H_*(X; \mathbb{Z})$ .

In the above theorem,  $f_{\partial\sigma} : \partial D_{\sigma}^n \rightarrow X^{(n-1)}$  is the attaching map of the  $n$ -cell  $\sigma$ ,  $\tau$  is an  $(n-1)$ -cell, and  $p_{\tau}$  is the composition

$$X^{(n-1)} \rightarrow X^{(n-1)} / X^{(n-2)} \rightarrow S_{\tau}^{n-1}.$$

## Morse functions

- 1 The **Hessian**  $H_p(f)$  of a smooth function  $f : M \rightarrow \mathbb{R}$  at a critical point  $p \in M$  is a symmetric bilinear map  $H_p(f) : T_pM \times T_pM \rightarrow \mathbb{R}$  whose matrix in local coordinates  $\phi(x) = (x_1, \dots, x_m)$  is given by

$$M_p(f) = \left( \frac{\partial^2 (f \circ \phi^{-1})}{\partial x_i \partial x_j} \phi(p) \right).$$

- 2 The dimension of the subspace of  $T_pM$  on which  $H_p(f)$  is negative definite is called the **index** of  $p$ , i.e. the number of negative eigenvalues of  $M_p(f)$ , and is denoted by  $\lambda_p$ .
- 3 The critical point  $p$  is said to be **non-degenerate** if and only if the Hessian  $H_p(f)$  is non-degenerate.
- 4 A **Morse function**  $f : M \rightarrow \mathbb{R}$  on a smooth manifold  $M$  is a smooth function whose critical points are all non-degenerate.

## Stable and unstable manifolds

Let  $p \in M$  be a critical point of a smooth function  $f : M \rightarrow \mathbb{R}$  on a smooth Riemannian manifold  $(M, g)$  of dimension  $m < \infty$ , and let  $\varphi : \mathbb{R} \times M \rightarrow M$  be the 1-parameter family of diffeomorphisms determined by  $-\nabla f$ . The **stable manifold** of  $p$  is

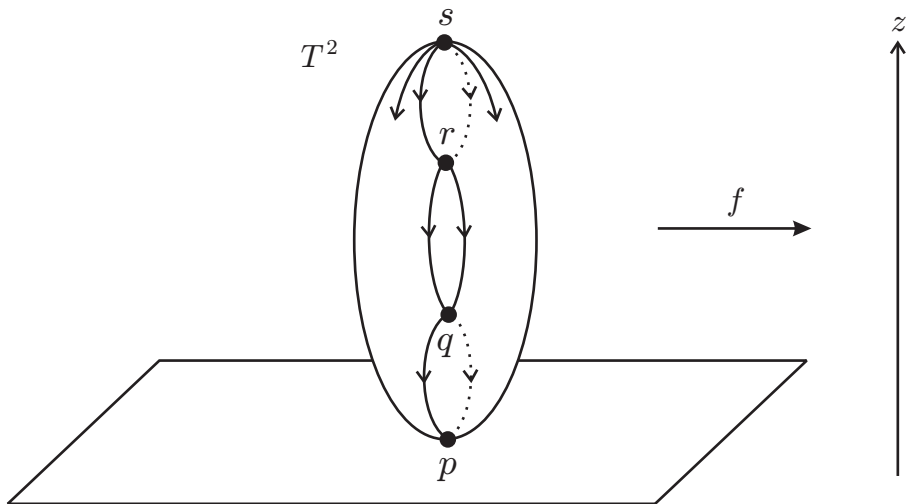
$$W^s(p) = \{x \in M \mid \lim_{t \rightarrow \infty} \varphi_t(x) = p\}$$

and the **unstable manifold** of  $p$  is

$$W^u(p) = \{x \in M \mid \lim_{t \rightarrow -\infty} \varphi_t(x) = p\}.$$

**The Stable/Unstable Manifold Theorem:** If  $p$  is a nondegenerate critical point, then the stable manifold  $W^s(p)$  is a smoothly embedded open disk of dimension  $m - \lambda_p$  and the unstable manifold  $W^u(p)$  is a smoothly embedded open disk of dimension  $\lambda_p$ .

# Stable and unstable manifolds on $T^2$





## Morse-Smale transversality

A pair  $(f, g)$  is called **Morse-Smale** if and only if all the stable and unstable manifolds intersect transversally, i.e.  $W^u(q) \pitchfork W^s(p)$  for all  $p, q \in Cr(f)$ .

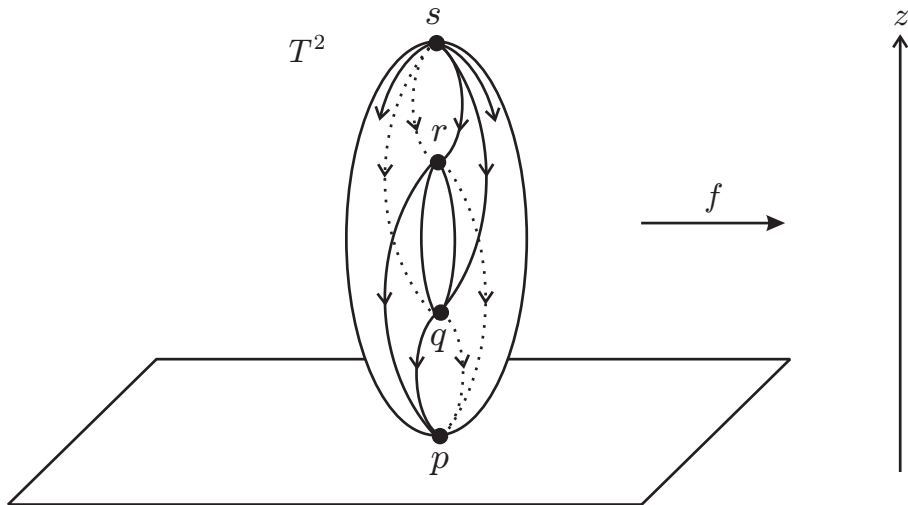
If  $W^u(q) \cap W^s(p) \neq \emptyset$ , then this condition implies that  $W^u(q) \cap W^s(p)$  is a manifold of dimension  $\lambda_q - \lambda_p$  and the **moduli space**

$$\mathcal{M}(q, p) = (W^u(q) \cap W^s(p)) / \mathbb{R}$$

is a manifold of dimension  $\lambda_q - \lambda_p - 1$ .

Note: The dimension of  $M$  **does not affect** the dimension of the moduli space  $\mathcal{M}(q, p)$ .

# A Morse-Smale function on $T^2$ (tilted)



## The Morse-Smale-Witten chain complex

Let  $f : M \rightarrow \mathbb{R}$  be a Morse-Smale function on a compact smooth Riemannian manifold  $(M, g)$  of dimension  $m < \infty$ , and assume that orientations for the unstable manifolds of  $f$  have been chosen. Let  $C_k(f)$  be the free abelian group generated by the critical points of index  $k$ , and let

$$C_*(f) = \bigoplus_{k=0}^m C_k(f).$$

Define a homomorphism  $\partial_k : C_k(f) \rightarrow C_{k-1}(f)$  by

$$\partial_k(q) = \sum_{p \in \text{Cr}_{k-1}(f)} n(q, p)p$$

where  $n(q, p)$  is the number of gradient flow lines from  $q$  to  $p$  counted with sign. The pair  $(C_*(f), \partial_*)$  is called the **Morse-Smale-Witten chain complex** of  $f$ .

## Oriented moduli spaces of gradient flow lines

Choosing orientations for all the unstable manifolds  $W^u(q)$  determines an orientation on  $W(q, p)$  for all  $p, q \in Cr(f)$  via

$$T_*W(q, p) \hookrightarrow T_*W^u(q)|_{W(q,p)} \twoheadrightarrow \nu_*(W(q, p), W^u(q))|_{W(q,p)},$$

where the fibers of the normal bundle are isomorphic to  $T_pW^u(p)$  via the gradient flow.

The  $\lambda_q - \lambda_p - 1$  manifold  $\mathcal{M}(q, p) = W(q, p)/\mathbb{R}$  is then oriented by choosing any regular value  $y$  between  $f(p)$  and  $f(q)$ , identifying  $\mathcal{M}(q, p) = W(q, p) \cap f^{-1}(y)$ , and for any  $x \in W(q, p) \cap f^{-1}(y)$  declaring  $B_x$  to be a positive basis for  $T_x\mathcal{M}(q, p)$  if and only if  $(-\nabla f)(x), B_x)$  is a positive basis for  $T_xW(q, p)$ .

# The Morse Homology Theorem

## Theorem

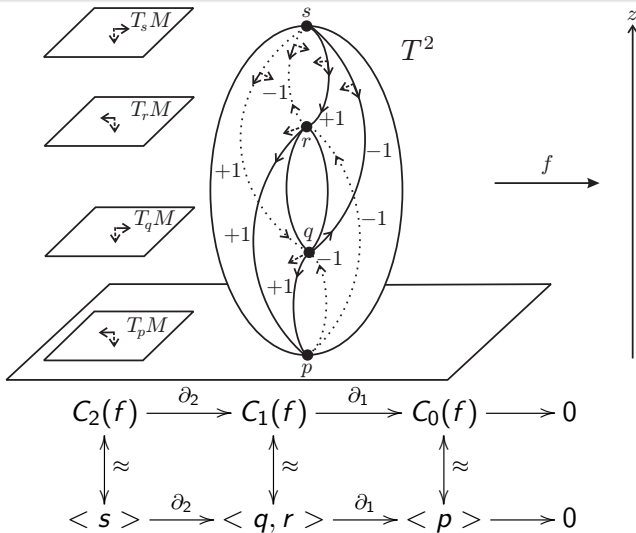
*Let  $f : M \rightarrow \mathbb{R}$  be a Morse function on a smooth manifold  $M$ . Suppose that  $M^t = \{x \in M \mid f(x) \leq t\}$  is compact for all  $t \in \mathbb{R}$ . Then  $M$  has the homotopy type of a CW-complex  $X$  with one cell of dimension  $k$  for each critical point of index  $k$ .*

So, we can use the CW-complex  $X \simeq M$  and the CW-Homology Theorem to compute the homology of  $M$ , even if  $(f, g)$  is not Morse-Smale.

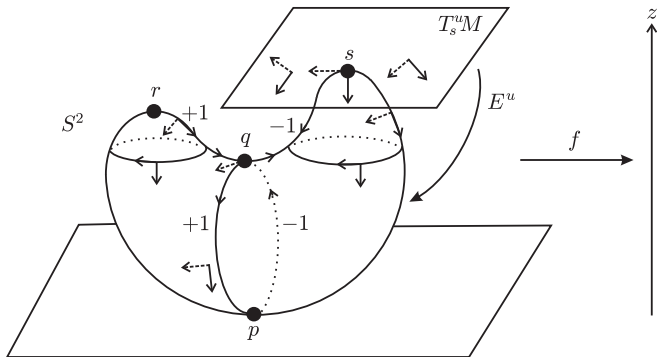
## Theorem (Morse Homology Theorem)

*If  $(f, g)$  is Morse-Smale, then the pair  $(C_*(f), \partial_*)$  is a chain complex, and its homology is isomorphic to the singular homology  $H_*(M; \mathbb{Z})$ .*

# The height function on a tilted 2-torus



# The height function on a deformed 2-sphere



$$\begin{array}{ccccccc}
 C_2(f) & \xrightarrow{\partial_2} & C_1(f) & \xrightarrow{\partial_1} & C_0(f) & \longrightarrow & 0 \\
 \uparrow \approx & & \uparrow \approx & & \uparrow \approx & & \\
 \langle r, s \rangle & \xrightarrow{\partial_2} & \langle q \rangle & \xrightarrow{\partial_1} & \langle p \rangle & \longrightarrow & 0
 \end{array}$$

## Unstable manifolds and CW-structures

Theorem (Qin, J. Fixed Point Theory Appl. (2021))

Let  $f : M \rightarrow \mathbb{R}$  be a Morse-Smale function on a closed, finite dimensional, smooth, Riemannian manifold  $(M, g)$ .

- 1 The unstable manifolds of  $f$  determine a CW-structure on  $M$ .
- 2 If  $q, p \in Cr(f)$  with  $\lambda_q - \lambda_p = 1$ , then

$$[\overline{W^u}(q) : \overline{W^u}(p)] = n(q, p).$$

The proof relies on the smooth manifold with corners structure on  $\mathcal{M}(q, p)$  and topological equivalence.

Similar results were announced or proved earlier for special metrics: Audin and Damian (2014), Burghlea and Haller (2001), Burghlea, Friedlander, and Kappeler (2010), Laudénbach (1992), Qin (2010).



## Definition (Bundles of abelian groups)

A **bundle of abelian groups**  $G$  over a topological space  $X$  associates to every point  $x \in X$  an abelian group  $G_x$  and to every continuous path  $\gamma : [0, 1] \rightarrow X$  a homomorphism

$\gamma_* : G_{\gamma(1)} \rightarrow G_{\gamma(0)}$  such that the following conditions are satisfied.

- 1 If two paths  $\gamma_1, \gamma_2 : [0, 1] \rightarrow X$  from  $x \in X$  to  $y \in X$  are homotopic rel endpoints, then the homomorphisms from  $G_y$  to  $G_x$  associated to  $\gamma_1$  and  $\gamma_2$  are the same, i.e.  $(\gamma_1)_* = (\gamma_2)_*$ .
- 2 If  $\gamma : [0, 1] \rightarrow X$  is constant, then  $\gamma_*$  is the identity.
- 3 If  $\gamma_1, \gamma_2 : [0, 1] \rightarrow X$  are paths with  $\gamma_1(1) = \gamma_2(0)$ , then  $(\gamma_1\gamma_2)_* = (\gamma_1)_* \circ (\gamma_2)_*$ , where  $\gamma_1\gamma_2$  denotes the concatenation of  $\gamma_1$  and  $\gamma_2$ .

**Alternately:** A bundle of abelian groups  $G$  is a functor from the fundamental groupoid of  $X$  to the category of abelian groups.

## Definition (Isomorphic bundles)

Suppose that  $G_1$  and  $G_2$  are both bundles of abelian groups over a topological space  $X$ . If there exists a family of isomorphisms  $\Phi : G_1 \rightarrow G_2$  such that for every continuous path  $\gamma : [0, 1] \rightarrow X$  the diagram

$$\begin{array}{ccc} (G_1)_{\gamma(1)} & \xrightarrow{\gamma_*^{G_1}} & (G_1)_{\gamma(0)} \\ \Phi_{\gamma(1)} \downarrow & & \downarrow \Phi_{\gamma(0)} \\ (G_2)_{\gamma(1)} & \xrightarrow{\gamma_*^{G_2}} & (G_2)_{\gamma(0)} \end{array}$$

commutes, then  $G_1$  and  $G_2$  are said to be **isomorphic**.

**Note:** A bundle of abelian groups that is isomorphic to a **constant bundle** ( $\gamma_* = id$  for all  $\gamma$ ) is called **simple**. A bundle of abelian groups  $G$  is simple if and only if for any  $x, y \in X$  the homomorphism  $\gamma_*$  is independent of the path  $\gamma$  from  $x$  to  $y$ .

## The $\eta$ -twisted local coefficient system

### Example (The local coefficient system $e^\eta$ )

Let  $\eta \in \Omega_{cl}^1(M, \mathbb{R})$  be a closed smooth real valued 1-form on a finite dimensional smooth manifold  $M$ . To each point  $x \in M$  associate the additive abelian group  $\mathbb{R}$ , and to each smooth path  $\gamma : [0, 1] \rightarrow M$  associate the homomorphism  $\gamma_* : \mathbb{R}_{\gamma(1)} \rightarrow \mathbb{R}_{\gamma(0)}$  defined by

$$\gamma_*(s) = e^{\int_1^0 \gamma^*(\eta)} \cdot s \quad \text{for all } s \in \mathbb{R}.$$

This defines a bundle of (additive)  $\mathbb{R}$  groups over  $M$ , since every continuous path is homotopic rel endpoints to a smooth path.

### Lemma

*If  $\eta_1, \eta_2 \in \Omega_{cl}^1(M, \mathbb{R})$  are in the same de Rham cohomology class, then  $e^{\eta_1}$  is isomorphic to  $e^{\eta_2}$ .*

## Singular chains with coefficients in $G$

Let  $\Delta^k$  denote the standard  $k$ -simplex with vertices  $e_0, \dots, e_k$ , and let  $C_k(X; G)$  be the set of all functions  $c$  such that

- 1 For every singular  $k$ -simplex  $u : \Delta^k \rightarrow X$ ,  $c(u) \in G_{u(e_0)}$  is defined.
- 2 The set of singular simplices  $u$  such that  $c(u) \neq 0$  is finite.

Elements of the abelian group  $C_k(X; G)$  are called **singular  $k$ -chains with coefficients in  $G$** , and every  $c \in C_k(X; G)$  can be represented as a finite sum

$$c = \sum_{i=1}^n c(u_i) \cdot u_i$$

where  $u_1, \dots, u_n$  are the singular simplices such that  $c(u_i) \neq 0$  and  $c(u_i) \in G_{u_i(e_0)}$  for all  $i = 1, \dots, n$ .

# Singular homology with coefficients in $G$

## Definition

The **singular boundary operator with coefficients in  $G$**  is defined to be the homomorphism  $\partial_k : C_k(X; G) \rightarrow C_{k-1}(X; G)$  given on an elementary chain  $g \cdot u$  by

$$\partial_k(g \cdot u) = (\gamma_u)_*(g) \cdot u \circ F_0 + \sum_{i=1}^k (-1)^i g \cdot u \circ F_i$$

where  $(\gamma_u)_* : G_{u(e_0)} \rightarrow G_{u(e_1)}$  is the homomorphism associated to the path  $\gamma_u(t) = u((1-t)e_1 + te_0)$  from  $u(e_1)$  to  $u(e_0)$  and  $F_i : \Delta^{k-1} \hookrightarrow \Delta^k$  is the inclusion onto the face opposite  $e_i$  for all  $i = 0, \dots, k-1$ . The pair  $(C_*(X; G), \partial_*)$  is a chain complex, and its homology groups  $H_*(X; G)$  are called the **singular homology groups of  $X$  with coefficients in the bundle  $G$** .

## Eilenberg's Theorem and equivariant homology

Suppose that  $(X, x_0)$  is a connected topological space and  $G_0$  is an abelian group on which  $\pi_1(X, x_0)$  acts. There is a chain complex  $(G_0 \otimes_{\pi_1} C_*(\tilde{X}), \bar{\partial}_*)$ , where the tensor product is taken over  $\pi_1(X, x_0)$  and the boundary operator  $\bar{\partial}_*$  is induced from the boundary operator on the singular chains in  $\tilde{X}$ . The homology groups of this complex are the **equivariant homology groups**  $E_*(\tilde{X}; G_0)$ .

### Theorem (Eilenberg)

*If  $G$  is a bundle of abelian groups in the isomorphism class determined by the action of  $\pi_1(X, x_0)$  on  $G_0$ , then  $H_k(X; G)$  is isomorphic to  $E_k(\tilde{X}; G_0)$  for all  $k$ .*

## Local coefficients on a CW-complex

If  $G$  is a local coefficient system on a CW-complex  $X$ , the triple  $(X^{(k-2)}, X^{(k-1)}, X^{(k)})$  determines a **connecting homomorphism**

$$H_k(X^{(k)}, X^{(k-1)}; G) \xrightarrow{\delta_k} H_{k-1}(X^{(k-1)}; G)$$

that can be composed with the map

$$H_{k-1}(X^{(k-1)}; G) \xrightarrow{j_*} H_{k-1}(X^{(k-1)}, X^{(k-2)}; G)$$

induced from the inclusion  $j : X^{(k-2)} \hookrightarrow X^{(k-1)}$  to give a map

$$H_k(X^{(k)}, X^{(k-1)}; G) \xrightarrow{\tilde{\partial}_k} H_{k-1}(X^{(k-1)}, X^{(k-2)}; G).$$

The above map satisfies  $\tilde{\partial}_{k-1} \circ \tilde{\partial}_k = 0$ , and the homology groups of the chain complex with boundary operator  $\tilde{\partial}_k$  and  $k^{\text{th}}$ -chain group  $H_k(X^{(k)}, X^{(k-1)}; G)$  are isomorphic to the singular homology groups of  $X$  with coefficients in the bundle  $G$ .

## Regular CW-complexes

### Definition

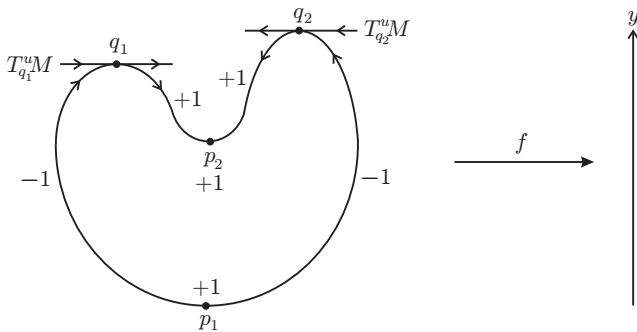
A CW-complex  $X$  is **regular** if every closed  $k$ -cell  $e^k$ , with  $k > 0$ , is homeomorphic to  $\Delta^k$ .

Regular CW-complexes satisfy several properties which are not necessarily satisfied by nonregular CW-complexes. For instance,

- 1 If  $j < k$  and  $e^j$  and  $e^k$  are cells such that  $e^j \cap e^k \neq \emptyset$ , then  $e^j \subset e^k$ .
- 2 If  $e^k$  and  $e^{k+2}$  are cells such that  $e^k$  is a face of  $e^{k+2}$ , then there are exactly two  $(k+1)$ -cells  $e^{k+1}$  such that  $e^k$  is a proper face of  $e^{k+1}$  and  $e^{k+1}$  is a proper face of  $e^{k+2}$ , i.e.  $e^k < e^{k+1} < e^{k+2}$ .
- 3 The incidence number  $[e^k : e^{k-1}]$  is  $\pm 1$  if  $e^{k-1} < e^k$  and zero otherwise.



# A regular CW-structure on $S^1$



For each  $k$ -cell  $e_\sigma^k$  in a regular CW-complex  $X$  choose a basepoint  $x(e_\sigma^k)$ . This determines an isomorphism

$$\bigoplus_{\sigma} (f_\sigma)_* : \bigoplus_{\sigma} H_k(\Delta^k, \dot{\Delta}^k; G_{x(e_\sigma^k)}) \xrightarrow{\approx} H_k(X^{(k)}, X^{(k-1)}; G).$$

The definition of the induced map  $(f_\sigma)_*$  requires both a map of spaces  $f_\sigma : (\Delta^k, \dot{\Delta}^k) \rightarrow (X^{(k)}, X^{(k-1)})$  and a homomorphism  $\gamma_* : G_{x(e_\sigma^k)} \rightarrow f_\sigma^*(G)$ . We take the homomorphism  $\gamma_*$  to be the one defined by restricting the local coefficient system  $G$  to the simply connected space  $e_\sigma^k$ . (**This works because  $X$  is regular.**) That is, for any point  $x \in \Delta^k$  there is a **unique** homotopy class of paths rel endpoints from  $f_\sigma(x)$  to  $x(e_\sigma^k)$  and hence a well-defined homomorphism  $G_{x(e_\sigma^k)} \rightarrow G_{f_\sigma(x)}$ .

Define

$$CW_k(X; G) \stackrel{\text{def}}{=} \left\{ \sum_{\sigma} g e_{\sigma}^k \mid g \in G_{x(e_{\sigma}^k)} \right\} \approx H_k(X^{(k)}, X^{(k-1)}; G)$$

## Steenrod's CW-boundary operator

**Steenrod's cellular boundary operator with coefficients in  $G$**  on a regular CW-complex  $X$  is defined to be the homomorphism

$$\partial_k : CW_k(X; G) \rightarrow CW_{k-1}(X; G)$$

given on an elementary chain  $ge^k$  by

$$\partial_k(ge^k) = \sum_{e^{k-1} < e^k} [e^k : e^{k-1}] (\gamma_{e^{k-1}e^k})_*(g) e^{k-1},$$

where  $(\gamma_{e^{k-1}e^k})_* : G_{x(e^k)} \rightarrow G_{x(e^{k-1})}$  denotes the isomorphism determined by any path from  $x(e^{k-1})$  to  $x(e^k)$  contained in the closure of  $e^k$ . We will call the pair  $(CW_*(X; G), \partial_*)$  **Steenrod's CW-chain complex with coefficients in the bundle  $G$** .

# The Twisted CW-Homology Theorem

## Theorem (Twisted CW-Homology Theorem)

*If  $X$  is a regular CW-complex and  $G$  is a bundle of abelian groups over  $X$ , then the singular boundary operator with coefficients in  $G$  induces Steenrod's cellular boundary operator with coefficients in  $G$ . That is, the following diagram commutes.*

$$\begin{array}{ccc}
 CW_k(X; G) & \xrightarrow{\partial_k} & CW_{k-1}(X; G) \\
 \updownarrow & & \updownarrow \\
 H_k(X^{(k)}, X^{(k-1)}; G) & \xrightarrow{\tilde{\partial}_k} & H_{k-1}(X^{(k-1)}, X^{(k-2)}; G)
 \end{array}$$

*Thus, the homology of Steenrod's CW-chain complex  $(CW_*(X; G), \partial_*)$  is isomorphic to the singular homology of  $X$  with coefficients in the bundle  $G$ .*

# The twisted Morse-Smale-Witten chain complex

Let  $G$  be a bundle of abelian groups and  $(f, g)$  a Morse-Smale pair on a finite dimensional closed smooth manifold  $M$ . Fix orientations on the unstable manifolds, and for all  $k = 0, \dots, m$  define

$$C_k(f; G) \stackrel{\text{def}}{=} \left\{ \sum_{q \in Cr_k(f)} gq \mid g \in G_q \right\} \approx \bigoplus_{q \in Cr_k(f)} G_q,$$

and  $\partial_k^G : C_k(f; G) \rightarrow C_{k-1}(f; G)$  by

$$\partial_k^G(gq) = \sum_{p \in Cr_{k-1}(f)} \sum_{\nu \in \mathcal{M}(q,p)} \epsilon(\nu) \gamma_\nu^*(g)p,$$

where  $\gamma^\nu : [0, 1] \rightarrow M$  is any continuous path from  $p$  to  $q$  whose image coincides with the image of  $\nu \in \mathcal{M}(q, p)$  and  $\epsilon(\nu) = \pm 1$  is the sign determined by the orientation on  $\mathcal{M}(q, p)$ .

# The $\eta$ -twisted Morse-Smale-Witten chain complex

Let  $\eta \in \Omega_{\text{cl}}^1(M, \mathbb{R})$  be a closed 1-form and  $(f, g)$  a Morse-Smale pair on a finite dimensional closed smooth manifold  $M$ . We have

$$C_k(f; e^\eta) \approx C_k(f) \otimes \mathbb{R},$$

where  $C_k(f)$  is the free abelian group generated by the critical points  $q$  of index  $k$ . Fixing orientations on the unstable manifolds of  $(f, g)$ , the homomorphism  $\partial_k^\eta : C_k(f) \otimes \mathbb{R} \rightarrow C_{k-1}(f) \otimes \mathbb{R}$  is given on a critical point  $q \in Cr_k(f)$  by

$$\partial_k^\eta(q) = \sum_{p \in Cr_{k-1}(f)} \sum_{\nu \in \mathcal{M}(q, p)} \epsilon(\nu) \exp\left(\int_{\mathbb{R}} \gamma_\nu^*(\eta)\right) p,$$

where  $\gamma_\nu : \overline{\mathbb{R}} \rightarrow M$  is any gradient flow line from  $q$  to  $p$  parameterizing  $\nu \in \mathcal{M}(q, p)$  and  $\epsilon(\nu) = \pm 1$  is the sign determined by the orientation on  $\mathcal{M}(q, p)$ .

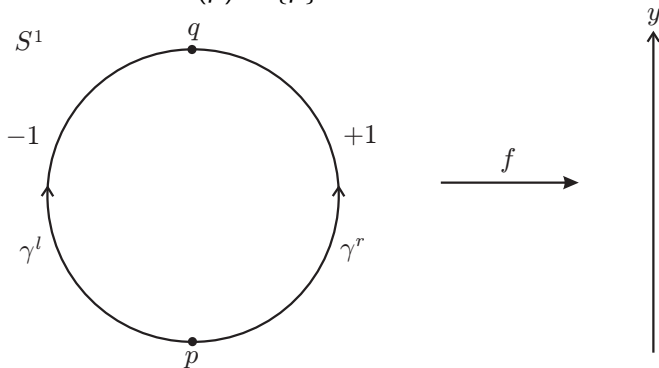
# The constant bundle $G = \mathbb{Z}$

If  $G = \mathbb{Z}$  is constant and  $g \in \mathbb{Z}$ , then

$$\begin{aligned}\partial_k^G(gq) &= \sum_{p \in Cr_{k-1}(f)} \sum_{\nu \in \mathcal{M}(q,p)} \epsilon(\nu) \gamma_*^\nu(g) p \\ &= \sum_{p \in Cr_{k-1}(f)} \sum_{\nu \in \mathcal{M}(q,p)} \epsilon(\nu) g p \\ &= \sum_{p \in Cr_{k-1}(f)} g \left( \sum_{\nu \in \mathcal{M}(q,p)} \epsilon(\nu) \right) p \\ &= g \sum_{p \in Cr_{k-1}(f)} n(q, p) p \\ &= g \partial_k(q) = \partial_k(gq).\end{aligned}$$

## The height function on a circle

Consider the height function  $f : S^1 \rightarrow \mathbb{R}$  on the unit circle  $S^1 \subset \mathbb{R}^2$  with a critical point  $q$  of index 1 and a critical point  $p$  of index 0. Orient the unstable manifold of  $q$  clockwise and the unstable manifold  $W^u(p) = \{p\}$  with  $+1$ .





## The (untwisted) Morse-Smale-Witten chain complex

The (untwisted) Morse-Smale-Witten chain complex of  $f$  is

$$\begin{array}{ccccccc}
 0 & \longrightarrow & C_1(f) & \xrightarrow{\partial_1} & C_0(f) & \longrightarrow & 0 \\
 & & \updownarrow \approx & & \updownarrow \approx & & \\
 0 & \longrightarrow & \langle q \rangle & \xrightarrow{\partial_1} & \langle p \rangle & \longrightarrow & 0
 \end{array}$$

with  $\partial_1(q) = 0$  zero since the two gradient flow lines have opposite signs. So,

$$\begin{aligned}
 H_k(C_*(f), \partial_*) &\approx \mathbb{Z} \text{ if } k = 0, 1 \\
 H_k(C_*(f), \partial_*) &\approx 0 \text{ otherwise.}
 \end{aligned}$$

## The $\eta$ -twisted Morse-Smale-Witten chain complex

Let  $\eta$  be a closed 1-form on  $S^1$  and  $e^\eta$  its associated flat  $\mathbb{R}$ -bundle. The  $\eta$ -twisted Morse-Smale-Witten boundary operator is given by

$$\partial_1^\eta(q) = \left( \exp \left( \int_1^0 (\gamma^r)^*(\eta) \right) - \exp \left( \int_1^0 (\gamma^l)^*(\eta) \right) \right) p.$$

If  $\eta$  is exact, then  $H_*((C_*(f; e^\eta), \partial_*^\eta)) = H_*(S^1; \mathbb{R})$ . However, if  $\eta$  is not exact, then

$$\int_1^0 (\gamma^r)^*(\eta) \neq \int_1^0 (\gamma^l)^*(\eta),$$

and  $H_k((C_*(f; e^\eta), \partial_*^\eta)) \approx 0$  for all  $k$ .

# The Twisted Morse Homology Theorem

## Theorem (Twisted Morse Homology Theorem)

*Let  $f : M \rightarrow \mathbb{R}$  be a smooth Morse-Smale function on a closed finite dimensional smooth Riemannian manifold  $(M, g)$ , and let  $G$  be a bundle of abelian groups over  $M$ . The homology of the Morse-Smale-Witten chain complex with coefficients in  $G$  is isomorphic to the singular homology of  $M$  with coefficients in  $G$ . That is,*

$$H_k((C_*(f; G), \partial_*^G)) \approx H_k(M; G)$$

*for all  $k = 0, \dots, m$ .*

Proved by comparing with Steenrod's twisted CW-complex for regular CW-complexes.

## Proof outline (invariance)

### Theorem (Invariance Theorem)

*Let  $(M, g)$  be a closed finite dimensional smooth Riemannian manifold, and let  $G$  be a bundle of abelian groups over  $M$ . Then the homology of the twisted Morse-Smale-Witten chain complex  $(C_*(f; G), \partial_*^G)$  is independent of the Morse-Smale pair  $(f, g)$  and depends only on the isomorphism class of the bundle of abelian groups  $G$ .*

Proved in Chapter 3 using standard continuation arguments from Floer theory. The proof relies on the smooth manifolds with corners structure on  $\overline{\mathcal{M}}(q, p)$ .

## Proof outline (triangulations and unstable manifolds)

### Theorem (Banyaga, H-, Spaeth)

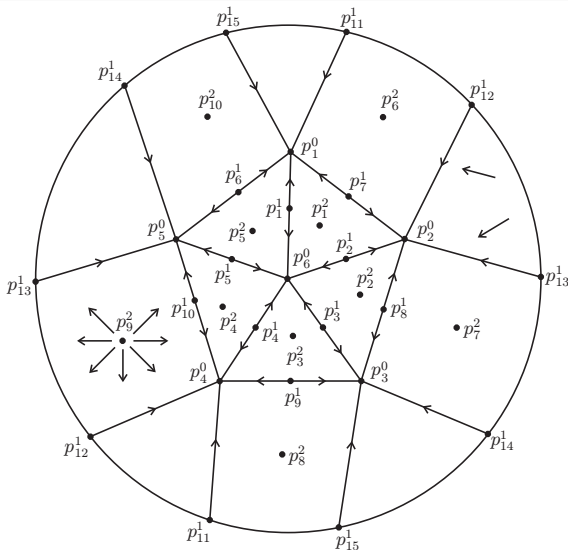
*On any closed finite dimensional smooth manifold  $M$  there exists a smooth Morse-Smale pair  $(f, g)$  such that the unstable manifolds coincide with a smooth triangulation of  $M$ . Hence, the unstable manifolds of  $(f, g)$  determine a regular CW-structure on  $M$ . Moreover, the Riemannian metric  $g$  can be chosen such that around every critical point of  $f$  there is a Morse chart that is an isometry respect to the standard Euclidean metric on  $\mathbb{R}^m$ .*

Proved in Section 4.4. The fundamental identity

$$\#\mathcal{M}(q, p) = [e_q^k : e_p^{k-1}]$$

follows easily for the function constructed in the above theorem, and hence the Morse-Smale-Witten boundary operator coincides with Steenrod's CW-boundary operator.

# A minimal triangulation of $\mathbb{R}P^2$ with ten 2-simplices



## Lichnerowicz cohomology (Chapter 5)

For any  $k$ -form  $\xi \in \Omega^k(M, \mathbb{R})$  define  $d_\eta \xi = d\xi + \eta \wedge \xi$ . It is easy to verify that  $d_\eta \circ d_\eta = 0$ , and hence  $d_\eta$  defines a cochain complex

$$\Omega^0(M, \mathbb{R}) \xrightarrow{d_\eta} \Omega^1(M, \mathbb{R}) \xrightarrow{d_\eta} \Omega^2(M, \mathbb{R}) \xrightarrow{d_\eta} \dots$$

called the **Lichnerowicz cochain complex**.

### Theorem ( $\eta$ -Twisted Morse de Rham Theorem)

*Let  $f : M \rightarrow \mathbb{R}$  be a smooth Morse-Smale function on a closed finite dimensional smooth Riemannian manifold  $(M, g)$ . For any  $\eta \in \Omega^1_{cl}(M, \mathbb{R})$ , the  $\eta$ -twisted Morse cohomology groups are isomorphic to the Lichnerowicz cohomology groups defined by  $-\eta$ , i.e.*

$$H_k((C^*(f; e^\eta), \delta_*^\eta)) \approx H_{-\eta}^k(M)$$

*for all  $k = 0, \dots, m$ .*

# Locally conformal symplectic manifolds

## Theorem

*Let  $(M, \Omega)$  be a closed, smooth, finite dimensional LCS manifold with Lee form  $\eta \in \Omega_{cl}^1(M, \mathbb{R})$ , i.e.  $d\Omega = -\eta \wedge \Omega$ . Then the  $\eta$ -twisted Morse homology groups  $H_*((C_*(f) \otimes \mathbb{R}, \partial_*^\eta))$  and the  $\eta$ -twisted Morse cohomology groups  $H_*((C^*(f; e^\eta), \delta_*^\eta))$  are invariants of the conformal class of  $\Omega$ .*

Proved in Chapter 5.



## Parallel 1-forms (Section 6.1)

### Theorem (Parallel 1-Form Obstruction)

*Let  $f : M \rightarrow \mathbb{R}$  be a smooth Morse-Smale function on a closed finite dimensional smooth Riemannian manifold  $M$ , and assume there exists a nonzero closed 1-form  $\eta$  on  $M$  such that  $H_k((C^*(f; e^\eta), \delta_*^\eta)) \neq 0$  for some  $k$ . Then for any nonzero closed 1-form  $\zeta$  on  $M$  such that  $[\zeta] = [\eta] \in H^1(M; \mathbb{R})$  the 1-form  $\zeta$  is not parallel with respect to any Riemannian metric on  $M$ .*

Proved in Section 6.1 using a result of León, López, Marrero, and Padrón (2003).

## H-spaces (Section 6.2)

An H-space is a topological space  $X$  together with a continuous map  $m : X \times X \rightarrow X$  and an element  $e \in X$  such that  $m(e, \cdot) : X \rightarrow X$  and  $m(\cdot, e) : X \rightarrow X$  are homotopic to the identity through maps that preserve  $e$ .

### Theorem (Associative H-space Obstruction)

*Let  $(f, g)$  be a smooth Morse-Smale pair on a closed path connected finite dimensional smooth manifold  $M$ . If there exists a local coefficient system  $\mathcal{L}$  of rank one vector spaces on  $M$  such that*

- 1  $\mathcal{L}$  is not simple, i.e.  $\mathcal{L}$  is not isomorphic to a constant bundle, and
- 2  $H_k((C_*(f; \mathcal{L}), \partial_*^{\mathcal{L}})) \neq 0$  for some  $k$ ,

*then  $M$  is not an associative H-space.*

Proved using a result of Albers, Frauenfelder, and Oancea (2017).

## Novikov homology (Section 6.3)

S.P. Novikov noted that a closed 1-form  $\zeta$  on a differentiable manifold  $M$  defines a “multivalued function”  $S$  by integrating  $\zeta$  over paths, and  $S$  becomes single valued on an appropriate covering space  $\tilde{M} \rightarrow M$ .

**Problem.** To construct an analogue of Morse theory for the multivalued functions  $S$ . That is, to find a relationship between the stationary points  $dS = 0$  of different index and the topology of the manifold  $M$ .

## Approaches using the dynamics of a flow

The generalization of the Morse-Smale-Witten chain complex to closed 1-forms that determine integral cohomology classes, i.e. to circle valued Morse functions, was carried out by A. Pajitnov (1995), and the construction of a Morse-Smale-Witten type complex using an arbitrary closed 1-form was given by D. Burghelea and S. Haller (2001) and F. Latour (2011).

These generalizations all define the boundary operator for the “Novikov complex” using the dynamics of a flow on a covering of the manifold determined by the closed 1-form and a Riemannian metric. The homology of the Novikov complex is isomorphic to the singular homology of the manifold with local coefficients in a system of rank one  $\text{Nov}(\Gamma)$ -modules.

In Section 6.3 we use twisted Morse complexes to compute the Novikov numbers of  $S^1$ ,  $T^2$ ,  $K^2$ , and a surface of genus two.

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