

# THE SYMPLECTIC DISPLACEMENT ENERGY

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ABSTRACT. We define the symplectic displacement energy of a non-empty subset of a compact symplectic manifold as the infimum of the Hofer-like norm [5] of symplectic diffeomorphisms that displace the set. We show that this energy (like the usual displacement energy defined using Hamiltonian diffeomorphisms) is a strictly positive number on sets with non-empty interior. As a consequence we prove a result justifying the introduction of the notion of strong symplectic homeomorphisms [4].

## 1. STATEMENT OF RESULTS

In [14], Hofer defined a norm  $\|\cdot\|_H$  on the group  $\text{Ham}(M, \omega)$  of compactly supported Hamiltonian diffeomorphisms of a symplectic manifold  $(M, \omega)$ .

For a non-empty subset  $A \subset M$ , he introduced the notion of the **displacement energy**  $e(A)$  of  $A$ :

$$e(A) = \inf\{\|\phi\|_H \mid \phi \in \text{Ham}(M, \omega), \phi(A) \cap A = \emptyset\}.$$

The displacement energy is defined to be  $+\infty$  if no compactly supported Hamiltonian diffeomorphism displaces  $A$ .

Eliashberg and Polterovich [8] proved the following result.

**Theorem 1.** *For any non-empty open subset  $A$  of  $M$ ,  $e(A)$  is a strictly positive number.*

It is easy to see that if  $A$  and  $B$  are non-empty subsets of  $M$  such that  $A \subset B$ , then  $e(A) \leq e(B)$ , and that  $e$  is a symplectic invariant. That is,

$$e(f(A)) = e(A)$$

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for all  $f \in \text{Symp}(M, \omega) = \{\phi \in \text{Diff}(M) \mid \phi^*\omega = \omega\}$ . This follows from the fact that  $\|f \circ \phi \circ f^{-1}\|_H = \|\phi\|_H$ .

In [5], a Hofer-like metric  $\|\cdot\|_{HL}$  was constructed on the group  $\text{Symp}_0(M, \omega)$  of all symplectic diffeomorphisms of a compact symplectic manifold  $(M, \omega)$  that are isotopic to the identity. It was proved recently by Buss and Leclercq [7] that the restriction of  $\|\cdot\|_{HL}$  to  $\text{Ham}(M, \omega)$  is a metric equivalent to the Hofer metric.

Let us now propose the following definition.

**Definition 2.** The **symplectic displacement energy**  $e_s(A)$  of a non-empty subset  $A \subset M$  is defined to be:

$$e_s(A) = \inf\{\|h\|_{HL} \mid h \in \text{Symp}_0(M, \omega), h(A) \cap A = \emptyset\}$$

if some element of  $\text{Symp}_0(M, \omega)$  displaces  $A$ , and  $+\infty$  if no element of  $\text{Symp}_0(M, \omega)$  displaces  $A$ .

Clearly, if  $A$  and  $B$  are non-empty subsets of  $M$  such that  $A \subset B$ , then  $e_s(A) \leq e_s(B)$ .

The goal of this paper is to prove the following result.

**Theorem 3.** *For any closed symplectic manifold  $(M, \omega)$ , the symplectic displacement energy of any subset  $A \subset M$  with non-empty interior satisfies  $e_s(A) > 0$ .*

## 2. THE HOFER NORM $\|\cdot\|_H$ AND THE HOFER-LIKE NORM $\|\cdot\|_{HL}$

**2.1.  $\text{Symp}_0(M, \omega)$  and  $\text{Ham}(M, \omega)$ .** Let  $\text{Iso}(M, \omega)$  be the set of all compactly supported symplectic isotopies of a symplectic manifold  $(M, \omega)$ . A compactly supported symplectic isotopy  $\Phi \in \text{Iso}(M, \omega)$  is a smooth map  $\Phi : M \times [0, 1] \rightarrow M$  such that for all  $t \in [0, 1]$ , if we denote by  $\phi_t(x) = \Phi(x, t)$ , then  $\phi_t \in \text{Symp}(M, \omega)$  is a symplectic diffeomorphism with compact support, and  $\phi_0 = \text{id}$ . We denote by  $\text{Symp}_0(M, \omega)$  the set of all time-1 maps of compactly supported symplectic isotopies.

Isotopies  $\Phi = \{\phi_t\}$  are in one-to-one correspondence with families of smooth vector fields  $\{\dot{\phi}_t\}$  defined by

$$\dot{\phi}_t(x) = \frac{d\phi_t}{dt}(\phi_t^{-1}(x)).$$

If  $\Phi \in \text{Iso}(M, \omega)$ , then the one-form  $i(\dot{\phi}_t)\omega$  such that

$$i(\dot{\phi}_t)\omega(X) = \omega(\dot{\phi}_t, X)$$

for all vector fields  $X$  is closed. If for all  $t$  the 1-form  $i(\dot{\phi}_t)\omega$  is exact, that is, there exists a smooth function  $F : M \times [0, 1] \rightarrow \mathbb{R}$ ,  $F(x, t) = F_t(x)$ , with compact supports such that  $i(\dot{\phi}_t)\omega = dF_t$ , then the isotopy  $\Phi$  is called a Hamiltonian isotopy and will be denoted by  $\Phi_F$ . We define the group  $\text{Ham}(M, \omega)$  of Hamiltonian diffeomorphisms as the set of time-one maps of Hamiltonian isotopies.

For each  $\Phi = \{\phi_t\} \in \text{Iso}(M, \omega)$ , the mapping

$$\Phi \mapsto \left[ \int_0^1 (i(\dot{\phi}_t)\omega) dt \right],$$

where  $[\alpha]$  denotes the cohomology class of a closed form  $\alpha$ , induces a well defined map  $\tilde{S}$  from the universal cover of  $\text{Symp}_0(M, \omega)$  to the first de Rham cohomology group  $H^1(M, \mathbb{R})$ . This map is called the **Calabi invariant** (or the **flux**). It is a surjective group homomorphism. Let  $\Gamma \subset H^1(M, \mathbb{R})$  be the image by  $\tilde{S}$  of the fundamental group of  $\text{Symp}_0(M, \omega)$ . We then get a surjective homomorphism

$$S : \text{Symp}_0(M, \omega) \rightarrow H^1(M, \mathbb{R})/\Gamma.$$

The kernel of this homomorphism is the group  $\text{Ham}(M, \omega)$  [2, 3].

**2.2. The Hofer norm.** Hofer [14] defined the length  $l_H$  of a Hamiltonian isotopy  $\Phi_F$  as

$$l_H(\Phi_F) = \int_0^1 (\text{osc } F_t(x)) dt,$$

where the oscillation of a function  $f : M \rightarrow \mathbb{R}$  is

$$\text{osc}(f) = \max_{x \in M} (f(x)) - \min_{x \in M} (f(x)).$$

For  $\phi \in \text{Ham}(M, \omega)$ , the **Hofer norm** of  $\phi$  is

$$\|\phi\|_H = \inf\{l_H(\Phi_F)\},$$

where the infimum is taken over all Hamiltonian isotopies  $\Phi_F$  with time-one map equal to  $\phi$ , i.e.  $\phi_{F,1} = \phi$ .

The Hofer distance  $d_H(\phi, \psi)$  between two Hamiltonian diffeomorphisms  $\phi$  and  $\psi$  is

$$d_H(\phi, \psi) = \|\phi \circ \psi^{-1}\|_H.$$

This distance is bi-invariant. This property was used in [8] to prove Theorem 1.

**2.3. The Hofer-like norm.** Now let  $(M, \omega)$  be a compact symplectic manifold without boundary, on which we fix a Riemannian metric  $g$ . For each  $\Phi = \{\phi_t\} \in \text{Iso}(M, \omega)$ , we consider the Hodge decomposition [19] of the 1-form  $i(\dot{\phi}_t)\omega$  as

$$i(\dot{\phi}_t)\omega = \mathcal{H}_t + du_t,$$

where  $\mathcal{H}_t$  is a harmonic 1-form. The forms  $\mathcal{H}_t$  and  $u_t$  are unique and depend smoothly on  $t$ .

For  $\Phi \in \text{Iso}(M, \omega)$ , define

$$l_0(\Phi) = \int_0^1 (|\mathcal{H}_t| + \text{osc}(u_t(x))) dt,$$

where  $|\mathcal{H}_t|$  is a norm on the finite dimensional vector space of harmonic 1-forms. We let

$$l(\phi) = \frac{1}{2}(l_0(\Phi) + l_0(\Phi^{-1})),$$

where  $\Phi^{-1} = \{\phi_t^{-1}\}$ .

For each  $\phi \in \text{Symp}_0(M, \omega)$ , let

$$\|\phi\|_{HL} = \inf\{l(\Phi)\},$$

where the infimum is taken over all symplectic isotopies  $\Phi = \{\phi_t\}$  with  $\phi_1 = \phi$ .

The following result was proved in [5].

**Theorem 4.** *For any closed symplectic manifold  $(M, \omega)$ ,  $\|\cdot\|_{HL}$  is a norm on  $\text{Symp}_0(M, \omega)$ .*

**Remark 5.** The norm  $\|\cdot\|_{HL}$  depends on the choice of the Riemannian metric  $g$  on  $M$  and the choice of the norm  $|\cdot|$  on the space of harmonic 1-forms. However, different choices for  $g$  and  $|\cdot|$  yield equivalent metrics. See Section 3 of [5] for more details.

**2.4. Some equivalence properties.** Let  $(M, \omega)$  be a compact symplectic manifold. Buss and Leclercq have proved:

**Theorem 6.** [7] *The restriction of the Hofer-like norm  $\|\cdot\|_{HL}$  to  $\text{Ham}(M, \omega)$  is equivalent to the Hofer norm  $\|\cdot\|_H$ .*

We now prove the following.

**Theorem 7.** *Let  $\phi \in \text{Symp}_0(M, \omega)$ . The norm*

$$h \mapsto \|\phi \circ h \circ \phi^{-1}\|_{HL}$$

*on  $\text{Symp}_0(M, \omega)$  is equivalent to the norm  $\|\cdot\|_{HL}$ .*

**Remark 8.** We owe the statement of the above theorem to the referee of a previous version of this paper.

*Proof.* Let  $\{h_t\}$  be an isotopy in  $\text{Symp}_0(M, \omega)$  from  $h$  to the identity, and let

$$i(\dot{h}_t)\omega = \mathcal{H}_t + du_t$$

be the Hodge decomposition of  $i(\dot{h}_t)\omega$ . Then  $\Psi = \{\phi \circ h_t \circ \phi^{-1}\}$  is an isotopy from  $\phi \circ h \circ \phi^{-1}$  to the identity and  $\dot{\Psi}_t = \phi_* \dot{h}_t$ . Therefore,

$$i(\dot{\Psi}_t)\omega = (\phi^{-1})^*(i(\dot{h}_t)\phi^*\omega) = (\phi^{-1})^*(\mathcal{H}_t + du_t) = (\phi^{-1})^*\mathcal{H}_t + d(u_t \circ \phi^{-1}).$$

Let  $\{\phi_s^{-1}\}$  be an isotopy from  $\phi^{-1}$  to the identity, and let  $L_X = i_X d + di_X$  be the Lie derivative in the direction  $X$ . Then

$$\frac{d}{ds}((\phi_s^{-1})^*\mathcal{H}_t) = (\phi_s^{-1})^*(L_{\dot{\phi}_s^{-1}}\mathcal{H}_t) = d((\phi_s^{-1})^*i(\dot{\phi}_s^{-1})\mathcal{H}_t),$$

where  $\dot{\phi}_t^{-1} = (\frac{d}{dt}\phi_t^{-1}) \circ \phi_t$ . Integrating from 0 to 1 we get

$$(\phi^{-1})^*\mathcal{H}_t - \mathcal{H}_t = d\alpha_t$$

where

$$\alpha_t = \int_0^1 ((\phi_s^{-1})^*i(\dot{\phi}_s^{-1})\mathcal{H}_t) ds.$$

Therefore,

$$i(\dot{\Psi}_t)\omega = \mathcal{H}_t + d(u_t \circ \phi^{-1} + \alpha_t).$$

Hence,

$$\begin{aligned}
l_0(\Psi) &= \int_0^1 (|\mathcal{H}_t| + \text{osc}(u_t \circ \phi^{-1} + \alpha_t)) dt \\
&\leq \int_0^1 (|\mathcal{H}_t| + \text{osc}(u_t \circ \phi^{-1})) dt + \int_0^1 \text{osc}(\alpha_t) dt \\
&= \int_0^1 (|\mathcal{H}_t| + \text{osc}(u_t)) dt + \int_0^1 \text{osc}(\alpha_t) dt \\
&= l_0(\{h_t\}) + K
\end{aligned}$$

where

$$K = \int_0^1 \text{osc}(\alpha_t) dt.$$

Let us now do the same calculation for  $\Psi^{-1} = \{\phi \circ h_t^{-1} \circ \phi^{-1}\}$ .

Since  $\dot{h}_t^{-1}$  satisfies  $\dot{h}_t^{-1} = -(\dot{h}_t^{-1})_* \dot{h}_t$ , the cohomology classes of  $i(\dot{h}_t)\omega$  and  $i(\dot{h}_t^{-1})\omega$  are of opposite sign. Since the Hodge decomposition is unique and the harmonic part of the first form is  $\mathcal{H}_t$ , the harmonic part of the second form is  $-\mathcal{H}_t$ . Therefore, there is a smooth family of functions  $v_t$  such that the Hodge decomposition for  $i(\dot{h}_t^{-1})\omega$  is

$$i(\dot{h}_t^{-1})\omega = -\mathcal{H}_t + dv_t.$$

The same calculation shows

$$i(\dot{\Psi}_t^{-1})\omega = -\mathcal{H}_t + d(v_t \circ \phi^{-1} - \alpha_t).$$

Hence,

$$l_0(\Psi^{-1}) \leq l_0(\{h_t^{-1}\}) + K.$$

We will now estimate  $K = \int_0^1 \text{osc}(\alpha_t) dt$ . Fix an isotopy  $\{\phi_s^{-1}\}$  from  $\phi^{-1}$  to the identity. Consider the continuous linear map

$$\mathcal{L}_{\{\phi_s^{-1}\}} : \mathcal{H}^1(M, g) \rightarrow C^\infty(M)$$

from the finite dimensional vector space of harmonic 1-forms given by

$$\mathcal{L}_{\{\phi_s^{-1}\}}(\theta) = \int_0^1 ((\phi_s^{-1})^* i(\dot{\phi}_s^{-1})\theta) ds.$$

Let  $\nu \geq 0$  be the norm of  $\mathcal{L}_{\{\phi_s^{-1}\}}$  where the norm on  $\mathcal{H}^1(M, g)$  is defined by the metric  $g$  and  $C^\infty(M)$  is given the sup norm. Then  $|\mathcal{L}_{\{\phi_s^{-1}\}}(\theta)| \leq \nu|\theta|$ . In our case  $\alpha_t = \mathcal{L}_{\{\phi_s^{-1}\}}(\mathcal{H}_t)$ . Therefore,

$$|\alpha_t| \leq \nu|\mathcal{H}_t|$$

and

$$\text{osc}(\alpha_t) \leq 2|\alpha_t| \leq 2\nu|\mathcal{H}_t|.$$

This implies

$$\text{osc}(\alpha_t) \leq 2\nu(|\mathcal{H}_t| + \text{osc}(u_t)) \quad \text{and} \quad \text{osc}(\alpha_t) \leq 2\nu(|\mathcal{H}_t| + \text{osc}(v_t)).$$

Hence,

$$K = \int_0^1 \text{osc}(\alpha_t) dt \leq 2\nu l_0(\{h_t\}),$$

and

$$K = \int_0^1 \text{osc}(\alpha_t) dt \leq 2\nu l_0(\{h_t^{-1}\}).$$

Now recall that,

$$l_0(\Psi) \leq l_0(\{h_t\}) + K \quad \text{and} \quad l_0(\Psi^{-1}) \leq l_0(\{h_t^{-1}\}) + K.$$

Therefore,

$$\begin{aligned} l(\Psi) &= \frac{1}{2} (l_0(\Psi) + l_0(\Psi^{-1})) \\ &\leq \frac{1}{2} (l_0(\{h_t\}) + 2\nu l_0(\{h_t\}) + l_0(\{h_t^{-1}\}) + 2\nu l_0(\{h_t^{-1}\})) \\ &\leq (2\nu + 1)l(\{h_t\}). \end{aligned}$$

Taking the infimum over the set  $I(h)$  of all symplectic isotopies from  $h$  to the identity we get

$$\inf_{I(h)} l(\Psi) \leq (2\nu + 1)\|h\|_{HL},$$

and since

$$\|\phi \circ h \circ \phi^{-1}\|_{HL} \leq \inf_{I(h)} l(\Psi)$$

we get

$$\|\phi \circ h \circ \phi^{-1}\|_{HL} \leq k\|h\|_{HL}$$

with  $k = 2\nu + 1$ .

We have shown that for every  $\phi \in \text{Symp}_0(M, \omega)$  there is a  $k \geq 1$  (depending on an isotopy  $\{\phi_s\}$  from  $\phi$  to the identity) such that the preceding inequality holds for all  $h \in \text{Symp}_0(M, \omega)$ . Applying this to  $\phi^{-1}$  we see that there is an  $k' \geq 1$  such that

$$\|\phi^{-1} \circ h \circ \phi\|_{HL} \leq k' \|h\|_{HL}$$

for all  $h \in \text{Symp}_0(M, \omega)$ . Therefore, for any  $h \in \text{Symp}_0(M, \omega)$  we have

$$\|h\|_{HL} = \|\phi^{-1} \circ (\phi \circ h \circ \phi^{-1}) \circ \phi\|_{HL} \leq k' \|\phi \circ h \circ \phi^{-1}\|_{HL}.$$

That is,

$$\frac{1}{k'} \|h\|_{HL} \leq \|\phi \circ h \circ \phi^{-1}\|_{HL} \leq k \|h\|_{HL}.$$

□

**Remark 9.** The constant  $k$  depends only on  $\phi^{-1}$  rather than the isotopy  $\{\phi_s^{-1}\}$ , because the function  $\mathcal{L}_{\{\phi_s^{-1}\}}(\theta)$  is the unique normalized function on  $M$  such that  $d(\mathcal{L}_{\{\phi_s^{-1}\}}(\theta)) = (\phi^{-1})^*\theta - \theta$ .

### 3. PROOF OF THE MAIN RESULT

We will closely follow the proof given by Polterovich of Theorem 2.4.A in [17] that  $e(A) > 0$ . We will use without any change Proposition 1.5.B.

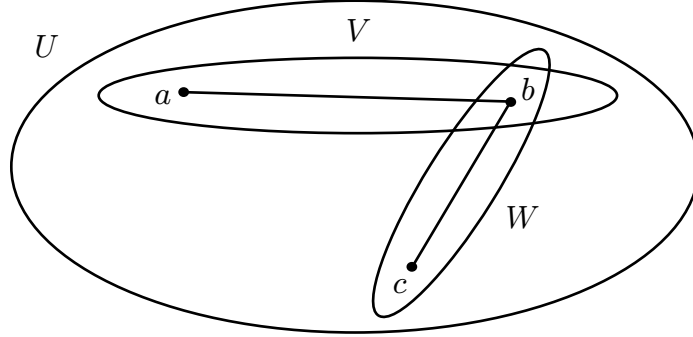
**Proposition 1.5.B.** [17] *For any non-empty open subset  $A$  of  $M$ , there exists a pair of Hamiltonian diffeomorphisms  $\phi$  and  $\psi$  that are supported in  $A$  and whose commutator  $[\phi, \psi] = \psi^{-1} \circ \phi^{-1} \circ \psi \circ \phi$  is not equal to the identity.*

For the sake of completeness we provide the following alternate proof of this proposition based on the transitivity lemmas in [3] (pages 29 and 109). (For a proof of  $k$ -fold transitivity for symplectomorphisms see [6].)

*Proof.* Let  $U$  be an open connected subset of  $A$  such that  $\overline{U} \subset A$ . Pick three distinct points  $a, b, c \in U$ . By the transitivity lemma of  $\text{Ham}(M, \omega)$ , there exist  $\phi, \psi \in \text{Ham}(M, \omega)$  such that  $\phi(a) = b$  and  $\psi(b) = c$ . Moreover, we can choose  $\phi$  and  $\psi$  so that  $\text{supp}(\phi)$  and  $\text{supp}(\psi)$  are contained in small tubular neighborhoods  $V$  and  $W$  of



distinct paths in  $U$  joining  $a$  to  $b$  and  $b$  to  $c$  respectively, and we can assume that  $c \in U \setminus V$ .



Then  $(\psi^{-1}\phi^{-1}\psi\phi)(a) = (\psi^{-1}\phi^{-1})(c) = \psi^{-1}(c) = b$ . Hence  $[\phi, \psi] \neq \text{id}$ .  $\square$

We will say that a map  $h$  **displaces**  $A$  if  $h(A) \cap A = \emptyset$ . Let us denote by  $D(A)$  the set of all  $h \in \text{Symp}_0(M, \omega)$  that displace  $A$ . We note the following fact.

**Lemma 10.** *Let  $\phi$  and  $\psi$  be as in Proposition 1.5.B, and let  $h \in D(A)$ . Then the commutator*

$$\theta = [h, \phi^{-1}] = \phi \circ h^{-1} \circ \phi^{-1} \circ h$$

satisfies  $[\phi, \psi] = [\theta, \psi]$ .

*Proof.* If  $x \in A$  then  $h(x) \notin A$ . Hence,

$$\begin{aligned} \theta(x) &= (\phi \circ h^{-1})(\phi^{-1}(h(x))) \\ &= \phi(h^{-1}(h(x))) \quad \text{since } \text{supp}(\phi^{-1}) \subset A \\ &= \phi(x), \end{aligned}$$

and we see that  $\theta|_A = \phi|_A$ . Similarly, for  $x \in A$  we have  $\phi^{-1}(x) \in A$ , and hence  $h(\phi^{-1}(x)) \notin A$  since  $h(A) \cap A = \emptyset$ . Thus,

$$\begin{aligned} \theta^{-1}(x) &= h^{-1}(\phi(h(\phi^{-1}(x)))) \\ &= h^{-1}(h(\phi^{-1}(x))) \quad \text{since } \text{supp}(\phi) \subset A \\ &= \phi^{-1}(x), \end{aligned}$$

and we see that  $\theta^{-1}|_A = \phi^{-1}|_A$ . Thus,  $(\phi^{-1} \circ \psi \circ \phi)(x) = (\theta^{-1} \circ \psi \circ \theta)(x)$  for all  $x \in A$  since  $\text{supp}(\psi) \subset A$ .

Now, if  $x \notin A$  and  $\theta(x) \in A$  we would have  $x = \theta^{-1}(\theta(x)) = \phi^{-1}(\theta(x)) \in A$  since  $\text{supp}(\phi^{-1}) \subset A$ , a contradiction. Hence, for  $x \notin A$  we have  $\theta(x) \notin A$  and

$$(\phi^{-1} \circ \psi \circ \phi)(x) = x = (\theta^{-1} \circ \psi \circ \theta)(x)$$

since both  $\phi$  and  $\psi$  have support in  $A$ . Therefore,  $\phi^{-1} \circ \psi \circ \phi = \theta^{-1} \circ \psi \circ \theta$ , and we have  $[\phi, \psi] = [\theta, \psi]$ . □

*Proof of Theorem 3 continued.* Following the proof of Theorem 2.4.A in [17] we assume there exists  $h \in D(A) \neq \emptyset$ . Otherwise, we are done since  $e_s(A) = +\infty$ . Now, let  $\phi$  and  $\psi$  be as in Proposition 1.5.B, and let  $\theta$  be as in Lemma 10. The commutator  $\theta$  is contained in  $\text{Ham}(M, \omega)$  because commutators are in the kernel of the Calabi invariant. Since both  $\theta$  and  $\psi$  are in  $\text{Ham}(M, \omega)$  and the Hofer norm is conjugation invariant, we have

$$\begin{aligned} \|[\theta, \psi]\|_H &= \|\psi^{-1} \circ \theta^{-1} \circ \psi \circ \theta\|_H \\ &\leq \|\psi^{-1} \circ \theta^{-1} \circ \psi\|_H + \|\theta\|_H \\ &= 2\|\theta\|_H. \end{aligned}$$

By Buss and Leclercq's theorem [7] there is constant  $\lambda > 0$  such that

$$\|\theta\|_H \leq \lambda \|\theta\|_{HL}.$$

Using the triangle inequality and the constant  $k > 0$  from Theorem 7 we have

$$\begin{aligned} \|[\theta, \psi]\|_H &\leq 2\lambda (\|\phi \circ h \circ \phi^{-1}\|_{HL} + \|h\|_{HL}) \\ &\leq 2\lambda (k\|h\|_{HL} + \|h\|_{HL}). \end{aligned}$$

Therefore,

$$0 < \frac{\|[\phi, \psi]\|_H}{2\lambda(k+1)} = \frac{\|[\theta, \psi]\|_H}{2\lambda(k+1)} \leq \|h\|_{HL}.$$

Since this inequality holds for all  $h \in D(A)$ , we can take the infimum over  $D(A)$  to get

$$0 < \frac{\|[\phi, \psi]\|_H}{2\lambda(k+1)} \leq e_s(A).$$

This completes the proof of Theorem 3. □

**Remark 11.** The proof of Theorem 1 relied on the bi-invariance of the distance  $d_H$ , whereas the proof of Theorem 3 relied on the equivalence of the norms  $h \mapsto \|\phi \circ h \circ \phi^{-1}\|_{HL}$  and  $\|\cdot\|_{HL}$ , i.e. the invariance of  $d_{HL}$  up to a constant.

#### 4. EXAMPLES

A harmonic 1-parameter group is an isotopy  $\Phi = \{\phi_t\}$  generated by the vector field  $V_{\mathcal{H}}$  defined by  $i(V_{\mathcal{H}})\omega = \mathcal{H}$ , where  $\mathcal{H}$  is a harmonic 1-form. It is immediate from the definitions that

$$l_0(\Phi) = l_0(\Phi^{-1}) = |\mathcal{H}|$$

where  $|\cdot|$  is a norm on the space of harmonic 1-forms. Hence  $l(\Phi) = |\mathcal{H}|$ . Therefore, if  $\phi_1$  is the time one map of  $\Phi$  we have

$$\|\phi_1\|_{HL} \leq |\mathcal{H}|.$$

For instance, take the torus  $T^{2n}$  with coordinates  $(\theta_1, \dots, \theta_{2n})$  and the flat Riemannian metric. Then all the 1-forms  $d\theta_i$  are harmonic. Given  $v = (a_1, \dots, a_n, b_1, \dots, b_n) \in \mathbb{R}^{2n}$ , the translation  $x \mapsto x + v$  on  $\mathbb{R}^{2n}$  induces a rotation  $\rho_v$  on  $T^{2n}$ , which is a symplectic diffeomorphism. Moreover,  $x \mapsto x + tv$  on  $\mathbb{R}^{2n}$  induces a harmonic 1-parameter group  $\{\rho_v^t\}$  on  $T^{2n}$ .

Taking the 1-forms  $d\theta_i$  for  $i = 1, \dots, 2n$  as basis for the space of harmonic 1-forms and using the standard symplectic form

$$\omega = \sum_{j=1}^n d\theta_j \wedge d\theta_{j+n}$$

on  $T^{2n}$  we have

$$i(\dot{\rho}_v^t)\omega = \sum_{j=1}^n (a_j d\theta_{j+n} - b_j d\theta_j).$$

Thus,

$$l(\{\rho_v^t\}) = |(-b_1, \dots, -b_n, a_1, \dots, a_n)|$$

where  $|\cdot|$  is a norm on the space of harmonic 1-forms, and we see that

$$\|\rho_v\|_{HL} \leq |v|$$

if we use  $|v| = |a_1| + \cdots + |a_n| + |b_1| + \cdots + |b_n|$  as the norm on both  $\mathbb{R}^{2n}$  and the space of harmonic 1-forms.

Consider the torus  $T^2$  as the square:

$$\{(p, q) \mid 0 \leq p \leq 1 \text{ and } 0 \leq q \leq 1\} \subset \mathbb{R}^2$$

with opposite sides identified. For any  $r < \frac{1}{2}$  let

$$\tilde{A}(r) = \{(x, y) \mid 0 \leq x < r\} \subset \mathbb{R}^2,$$

and let  $A(r)$  be the corresponding subset in  $T^2$ . If  $v = (r, 0)$ , then the rotation  $\rho_v$  induced by the translation  $(p, q) \mapsto (p+r, q)$  displaces  $A(r)$ . Therefore, using the norm  $|v| = |a_1| + |b_1| = r$  we have

$$\|\rho_v\|_{HL} \leq l(\{\rho_v^t\}) = r.$$

Therefore,

$$e_s(A(r)) \leq r.$$

**Remark 12.** Note that in the above example the symplectic displacement energy is finite, whereas the Hamiltonian displacement energy  $e(A(r))$  is infinite. This follows from a result proved by Gromov [12]: If  $(M, \omega)$  is a symplectic manifold without boundary that is convex at infinity and  $L \subset M$  is a compact Lagrangian submanifold such that  $[\omega]$  vanishes on  $\pi_2(M, L)$ , then for any Hamiltonian symplectomorphism  $\phi : M \rightarrow M$  the intersection  $\phi(L) \cap L \neq \emptyset$ . Stronger versions of this result can be found in [9], [10], and [11]. See also Section 9.2 of [15].

## 5. APPLICATION

The following result is an immediate consequence of the positivity of the symplectic displacement energy of non-empty open sets. For two isotopies  $\Phi$  and  $\Psi$  denote by  $\Phi^{-1} \circ \Psi$  the isotopy given at time  $t$  by  $(\Phi^{-1} \circ \Psi)_t = \phi_t^{-1} \circ \psi_t$ .

**Theorem 13.** *Let  $\Phi_n$  be a sequence of symplectic isotopies and let  $\Psi$  be another symplectic isotopy. Suppose that the sequence of time-one maps  $\phi_{n,1}$  of the isotopies  $\Phi_n$  converges uniformly to a homeomorphism  $\phi$ , and  $l(\Phi_n^{-1} \circ \Psi) \rightarrow 0$  as  $n \rightarrow \infty$ , then  $\phi = \psi_1$ .*

This theorem can be viewed as a justification for the following definition, which appeared in [1] and [4].

**Definition 14.** A homeomorphism  $h$  of a compact symplectic manifold is called a **strong symplectic homeomorphism** if there exist a sequence  $\Phi_n$  of symplectic isotopies such that  $\phi_{n,1}$  converges uniformly to  $h$ , and  $l(\Phi_n)$  is a Cauchy sequence.

*Proof of Theorem 13.* Suppose  $\phi \neq \psi_1$ , i.e.  $\phi^{-1} \circ \psi_1 \neq \text{id}$ . Then there exists a small open ball  $B$  such that  $(\phi^{-1} \circ \psi_1)(\overline{B}) \cap \overline{B} = \emptyset$ . Since  $\phi_{n,1}$  converges uniformly to  $\phi$ ,  $((\phi_{n,1})^{-1} \circ \psi_1)(B) \cap B = \emptyset$  for  $n$  large enough. Therefore, the symplectic displacement energy  $e_s(B)$  of  $B$  satisfies

$$e_s(B) \leq \|(\phi_{n,1})^{-1} \circ \psi_1\|_{HL} \leq l(\Phi_n^{-1} \circ \Psi).$$

The last term tends to zero, which contradicts the positivity of  $e_s(B)$ .  $\square$

**Remark 15.** This theorem was first proved by Hofer and Zehnder for  $M = \mathbb{R}^{2n}$  [13], and then by Oh-Müller in [16] for Hamiltonian isotopies using the same lines as above, and very recently by Tchiuaga [18], using the  $L^\infty$  version of the Hofer-like norm.

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